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# Design and Beam Test Results for the sPHENIX Electromagnetic Calorimeter Prototype

Abstract—sPHENIX is a future experiment at the Relativistic Heavy Ion Collider with the goal of studying the quark-gluon 2 plasma and further understanding QCD matter and interactions. 3 A prototype of the sPHENIX detector was tested at the Fermilab Test Beam Facility in Spring 2018 as experiment T-1044. The energy response of the EMCal was studied as a function of 6 position and input energy. The resolution of the EMCal prototype 7 was obtained after applying a position dependent correction 8 (hodoscope-based or cluster-based) and a beam profile correction. The EMCal energy resolution was found to be  $\sigma(E)/\langle E \rangle =$ 10  $3.5(0.1) \oplus 13.3(0.2)/\sqrt{E}$  based on the hodoscope position de-11 pendent correction, and  $\sigma(E)/\langle E \rangle = 3.0(0.1) \oplus 15.4(0.3)/\sqrt{E}$ 12 based on the cluster position dependent correction. Both of these 13 results meet the requirements of the sPHENIX physics program. 14 15

Index Terms—Calorimeters, electromagnetic calorimetry, per formance evaluation, prototypes, Relativistic Heavy Ion Collider
 (RHIC), silicon photomultiplier (SiPM), simulation, "Spaghetti"
 Calorimeter (SPACAL), super Pioneering High Energy Nuclear
 Interaction eXperiment (sPHENIX)

#### I. INTRODUCTION

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▶ HE super Pioneering High Energy Nuclear Interaction r 22 eXperiment (sPHENIX) is a future experiment [1] at the 23 Relativistic Heavy Ion Collider that will elucidate QCD matter 24 and interactions by studying the quark-gluon plasma (QGP) 25 [2]-[6]. The sPHENIX detector is designed to measure the 26 QGP at a variety of length scales using various probes in order 27 to provide insights into the microscopic properties of the QGP. 28 One such probe is jets of correlated particles arising from hard 29 scattering interactions between two partons hadronizing into 30 collimated shower of particles. The energy loss of partons 31 traversing the QGP is of particular interest. Capabilities for 32 heavy flavor measurements in sPHENIX will allow for detailed 33 study of flavor dependent energy loss through measurement 34 of heavy flavor hadrons, as well as heavy flavor jets. To 35 accomplish these measurements, sPHENIX is designed with a 36 tracking system, a calorimeter system with  $2\pi$  acceptance and 37 pseudorapidity coverage of  $|\eta| < 1.1$ , and the former BaBar 38 solenoid magnet [7]. The calorimeter system consists of an 39 electromagnetic calorimeter and a hadronic calorimeter. The 40 calorimeter system will allow for the measurement of jets with 41 transverse momentum as low as 10 GeV, as well as provide 42 the first measurements of hadronic jet reconstruction at RHIC. 43

The sPHENIX electromagnetic calorimeter (EMCal) is a 44 sampling calorimeter designed to measure electrons, positrons 45 and photons. The EMCal has a coverage of  $|\eta| < 1.1$  and 46  $0 < \phi < 2\pi$ . The EMCal is segmented into *towers* of size 47  $\Delta\eta \times \Delta\phi = 0.024 \times 0.024$  that set the granularity of the 48 calorimeter. The towers are defined within calorimeter blocks 49 that consist of scintillating fibers embedded in a mix of tung-50 sten powder and epoxy. Each block corresponds to a 2x2 array 51

of towers. Each tower is equipped with a lightguide to collect 52 the light from the fibers. The blocks are distributed in 64 53 sectors that describe an overall cylindrical geometry concentric 54 with the beamline and centered at the interaction point (IP) 55 of the particle collisions. Each hemisphere  $0 < |\eta| < 1.1$ 56 has 32 sectors distributed evenly in azimuth. Each sector has 57 24 rows of blocks that extend along the beamline, and each 58 row has 4 blocks that extend in  $\phi$ . The blocks are tapered 59 in both  $\eta$  and  $\phi$ , resembling a truncated pyramid, and giving 60 a 2D projective geometry. The blocks are further tilted such 61 that the fibers point to a sphere around the interaction point, 62 minimizing channeling and improving energy resolution. 63

#### II. PROTOTYPE ELECTROMAGNETIC CALORIMETER

The 2018 EMCal prototype is an array of 8x8 calorimeter towers, or 4x4 blocks, covering a solid angle of  $\Delta \eta \times \Delta \phi = 0.2 \times 0.2$  centered at  $\eta = 1$ . Figure 1 shows a drawing of the EMCal prototype.

## A. EMCal Block Production

The EMCal blocks were produced by embedding a matrix of scintillating fibers (SciFi) in a mix of epoxy and tungsten powder (W). The blocks are similar to the "Spaghetti Calorimeter" design used in other experiments [8]–[14]. The scintillating fibers are as long as the block and are distributed uniformly across the block's cross section. There is a total of 2668 fibers per block. The towers within a block have an area of approximately  $(1.1R_M)^2$ , where  $R_M \sim 2.3 \ cm$  is the Molière radius. The length of the towers varies with  $\eta$  and it has an approximate value of  $\sim 20X_0$ , where  $X_0 \sim 7 \ mm$  is the radiation length. The blocks have a density of approximately 9.5  $g/cm^3$  and a sampling fraction of  $\sim 2.3\%$ .

The materials used to produce the blocks are listed in Table I along with some of their properties. The blocks were produced at the University of Illinois at Urbana-Champaign following this procedure:

- Scintillating fibers are dropped into mesh screens that hold the fibers in place.
- The fiber-screen assembly is put into a mold.
- Tungsten powder is poured into the mold. The mold is placed on a vibrating table to pack the powder.
- Epoxy is poured into the top of the filled mold, while a vacuum pump is used at the bottom to extract the air as well as pull the epoxy through the mold.
- The filled mold is left to dry until the mix is solid.
- The block is unmolded and machined to its final shape. A diamond tip is used to machine the readout ends of the block.



Fig. 1. EMCal prototype. The prototype consists of an array of 4x4 blocks, covering a solid angle of  $\Delta \eta \times \Delta \phi = 0.2 \times 0.2$  centered at  $\eta = 1$ . Each block (dark gray) corresponds to a 2x2 array of towers defined by lightguides (light gray).

EMCAL BLOCK MATERIALS					
Material	Property	Value			
Scintillating fiber	Saint Gobain BCF-12 diameter core material cladding material cladding emission peak decay time attenuation length	0.47 mm polystyrene acrylic single 435 nm 3.2 ns > 1.6 m			
Tungsten powder	THP Technon 100 mesh particle size bulk density (solid) tap density (powder) purity impurities ( $\leq$ 5 percent)	25-150 μm ≥ 18.50 g/cm <sup>3</sup> ≥ 10.9 g/cm <sup>3</sup> ≥ 95.4% W Fe, Ni, O2, Co, Cr, Cu, Mo			
Epoxy	EPO-TEK 301				

TABLE I

The finished EMCal block can be seen in Figure 2. The quality assurance of the blocks included tests of density, light transmission and size. The blocks had a density ranging from 9.2 to 9.8  $g/cm^3$ , and more than 99% working fibers. The size of the blocks deviated from the nominal design by less than 0.02 in.

#### 104 B. Light Collection

The light from the scintillating fibers was collected at the 105 tower's front end (closer to the IP). Lightguides were epoxied 106 to the front of the towers, while reflectors were epoxied to 107 the back. The lightguides consisted of UV transmitting acrylic 108 with a trapezoidal shape (see Figure 3), custom made by NN, 109 Inc. A silicone adhesive was used to couple each lightguide 110 to four Silicon photomultipliers (SiPM) Hamamatsu S12572-111 33-015P. Each SiPM had 40k pixels distributed evenly in an 112 area of  $15 \times 15 \mu m^2$ , and a detection efficiency of 25%. The 113 signal per tower was obtained by adding the contribution from 114 each of the four SiPMs. The signal was read by a preamplifier 115 and an amplifier, then shaped and driven into a digitizer. More 116 details about the electronics are given in Section III. Figure 3 117 shows an EMCal block equipped with lightguides and SiPMs. 118



Fig. 2. EMCal block. The block consists on scintillating fibers embedded in a mix of tungsten powder and epoxy. The blocks are tapered in two dimensions, giving a 2D projective geometry.



Fig. 3. EMCal block equipped with lightguides and SiPMs.

## C. Assembly

Once the EMCal blocks were equipped with lightguides and SiPMs, they were stacked and epoxied together in their final positions. Since the SiPM signal is sensitive to temperature, a cooling system was used to remove the heat generated by the SiPMs and the electronics. The cooling system consisted of multiple water coils connected to cold plates. The plates were coupled to the preamplifier boards that follow the SiPMs. Both

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- 127 the cooling system and electronics were controlled remotely.
- <sup>128</sup> The EMCal prototype can be seen in Figure 4, which shows the

<sup>129</sup> blocks, lightguides, SiPMs, electronics and part of the cooling

130 system.



Fig. 4. EMCal prototype showing the SciFi/W blocks, lightguides, SiPMs, electronics and part of the cooling system.

#### 131 III. READOUT ELECTRONICS AND DATA ACQUISITION

Light was collected in each tower using four SiPMs. The 132 SiPMs voltage was set to have a nominal gain of  $\sim 2.3 \times 10^5$ . 133 A small thermistor was mounted at the center of the four 134 SiPMs to monitor the temperature per tower. SiPM signals cor-135 responding to one tower were summed, preamplified, amplified 136 and shaped before going into digitizers. LEDs of 405 nm were 137 included near the front end of the towers to test the SiPMs 138 and preamplifier with fixed amplitude pulses. Similarly, charge 139 injection circuits were included in the amplifiers to provide 140 fixed amplitude pulses for testing. The EMCal prototype could 141 operate in a normal gain mode, or a high gain mode with  $16 \times$ 142 the normal gain. The gain was selected through a slow control 143 system. 144

The slow control system consisted of an interface board 145 connected to a controller board. The interface board was 146 mounted on the EMCal prototype while the controller board 147 was in a separate crate. The interface board contained digital-148 to-analog converters needed for different testing and moni-149 toring tasks. The interface board controlled SiPM bias and 150 amplifier gain. Testing of preamplifiers and amplifiers was 151 controlled through the interface board as well. The interface 152 board also monitored leakage current and local temperature for 153 compensation. The parameters for these testing and monitoring 154 tasks were provided to the interface board by the controller 155 board. An ethernet connection was used to communicate with 156 the controller board. 157

Signals were digitized following the trigger using a digitization system developed for PHENIX [15]. Signals were digitized using an analog-to-digital converter (ADC) and Field Programmable Gate Arrays (FPGA). Signals were collected in Data Collection Modules (DCM) and data was finally recorded using the data acquisition system RCDAQ.

## IV. TEST BEAM

The EMCal prototype was tested at the Fermilab Test Beam Facility as experiment T-1044. The facility provided a particle beam, detectors such as a lead-glass calorimeter and a Cherenkov counter, and a motion table (MT6.2C) [16]. The EMCal was placed in the motion table to allow testing in different positions with respect to the beam.

The particle beam used in the experiment had energies 171 ranging from 2 to 28 GeV. The beam was composed mainly 172 of electrons, muons and pions, and their relative abundance 173 depended on the energy [17], [18]. The beam hit the EMCal 174 prototype with a frequency of 1 spill per min, where a spill 175 corresponds to a maximum of  $\sim 10^5$  particles during 4s. The 176 beam had a nominal momentum spread of  $\delta p/p \sim 2\%$  for 177 the energy range used [8], [19], [20]. A lead-glass calorime-178 ter was used to measure the accuracy and precision of the 179 beam momentum. The lead-glass calorimeter had a size of 180  $45 \times 15 \times 15 \text{ cm}^3$  and a resolution of  $(5.6 \pm 0.2)\%/\sqrt{E}$  [21]. 181

External detectors were used to discriminate electron signals 182 from background from minimum ionizing particles (MIPs) and 183 hadrons. A gaseous Cherenkov counter was placed upstream 184 of the EMCal to trigger on electron signals. A hodoscope 185 [8], [9] was placed upstream of the EMCal to determine the 186 position of the particles in the beam precisely. The hodoscope 187 consisted of 16 hodoscope fingers (0.5 cm wide scintillators) 188 arranged in two arrays of 8 fingers each. One array had 189 the hodoscope fingers arranged vertically and the other array 190 had them arranged horizontally. The position of a hit in the 191 hodoscope was given by a horizontal and a vertical hodoscope 192 finger, with a total of 64 possible positions. Each hodoscope 193 finger was read out by an SiPM. Four veto detectors were also 194 placed around the EMCal in order to suppress background 195 from MIPs. Each veto counter consisted of a scintillator 196 coupled to a photomultiplier tube (PMT) and read out by a 197 digitizer. 198

#### V. SIMULATIONS

The EMCal prototype was simulated using GEANT4 [22], 200 [23] version 4.10.02-patch-02. The physics configuration 201 QGSP\_BERT\_HP was used, which is recommended for high 202 energy simulations. The simulations included an electron beam 203 of energies 2 to 28 GeV with a Gaussian profile of sigma  $\sim$  3.5 204 cm. The beam was pointed between Towers 36 and 29, which 205 are located near the center of the prototype (see Figure 5), fully 206 covering the towers. In the simulations, the energy deposits 207 from the electromagnetic showers were converted into light 208 using Birk's law [24] with constant  $k_B = 0.0794$  mm/MeV 209 [25]. The number of photons was converted to number of 210 fired SiPM pixels taking into account the lightguide collection 211 efficiency. The number of fired pixels was converted to ADC 212 counts and then calibrated to energy. To account for SiPM 213 saturation, the energy was reduced by a factor obtained from 214 a Monte Carlo simulation of the SiPMs. The simulations were 215 integrated into the sPHENIX analysis framework. 216

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## VI. ANALYSIS METHODS

218 A. Data Sets

The data sets used in this analysis correspond to a beam of electrons with energies of 2, 3, 4, 6, 8, 12, 16, 20, 24 and 28 GeV. The beam was pointed at either Tower 36 or Tower 29 (see Figure 5). In this paper, whenever Tower 36 or Tower 29 is mentioned, it is referring to the corresponding data set that had the beam centered at either of those towers.

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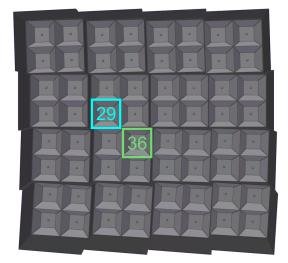


Fig. 5. Front view of the EMCal prototype showing the towers. Tower 36 (light green) and Tower 29 (light blue) are highlighted.

## 226 B. Electron Selection

Different cuts were used in order to suppress background 227 from MIPs and hadrons, and select only events with good 228 electrons. For an event to be regarded as a good electron, it had 229 to pass a Cherenkov cut, a vertical and horizontal hodoscope 230 cut, and four veto cuts. For the Cherenkov cut, the energy 231 of the events had to be greater than a threshold of  $\sim 1500$ 232 ADC counts, based on the Cherenkov's energy spectrum. For 233 the vertical and horizontal hodoscope cuts, the events were 234 required to have an energy greater than 50% of the peak energy 235 in the hodoscope's energy spectrum. Only events with one 236 hit in the vertical and one hit in the horizontal hodoscope 237 fingers were considered. For the four veto cuts, the events were 238 required to have an energy less than 20% of the peak energy 239 in the veto's energy spectrum. These cuts gave a number of 240 good electrons of approximately 5000-50000, depending on 241 the energy. 242

#### 243 C. Calibration

A preliminary calibration of the data, the shower calibra-244 tion, was done based on how the electromagnetic showers 245 develop within the EMCal. A uniformity study of the EMCal 246 prototype showed that the energy measurements vary depend-247 ing on the position within the EMCal (see Figure 6). This 248 behavior motivated the use of a secondary energy calibration, 249 the position dependent correction. The calibration procedures 250 are as follows: 251

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1) Shower Calibration: Calibration constants were applied 252 tower-by-tower to convert the ADC signals to energy. For each 253 event, the energy measured by the EMCal was obtained as the 254 total energy of a 5x5 cluster of towers around the maximum 255 energy tower. The size of the cluster was selected based on the 256 Moliére radius for the EMCal blocks. A cluster of 5x5 towers 257 contains over 95% of the shower. The energy corresponding 258 to a cluster of 5x5 towers around the tower with the maximum 259 energy is denoted as  $E_{cluster}$ . 260

2) Position dependent correction: The energy measured by 261 the EMCal was corrected by a constant that depends on the 262 position of the hit in the EMCal. Two different corrections 263 were obtained. In the first one, the position was given by 264 a horizontal and a vertical hodoscope finger, for a total of 265 8x8 possible positions. In the second one, the position was 266 given by the energy averaged cluster position measured by 267 the EMCal, discretized in 8x8 bins that match the hodoscope. 268 The position dependent calibration constants were obtained 269 from 8 GeV data. For each of the 64 possible positions, a 270 histogram was filled with the cluster energy of the hits in that 271 position. The histogram was then fit with a Gaussian of mean 272  $\mu$ . The calibration constant for each position was obtained as 8 273 GeV/ $\mu$ . The position dependent correction changed the energy 274 resolution by 2-3 %, depending on the energy. 275

3) Beam Profile Correction: In the experiment, the beam 276 was collimated and had a different profile at different energies. 277 In addition to the position dependent correction, a *beam profile* 278 correction was introduced in order to correct for the energy 279 dependence of the beam profile. This correction consisted on 280 filling the energy histograms with weights that were obtained 281 by uniforming the distribution of beam particles as a function 282 of position. The beam profile correction changed the energy 283 resolution by 0.1-0.5 %, depending on the energy. 284

## VII. RESULTS AND DISCUSSION

#### A. Uniformity

Figure 6 shows the cluster energy as a function of position for an input energy of 8 GeV. The results are shown for data and simulations. Figure 6 shows a better energy collection efficiency towards the center of the towers than at the boundaries between blocks and towers.

Figure 7 shows the effect of the position dependent correction on the energy. This figure shows the cluster energy as a function of horizontal hodoscope position. The data is shown before and after the position dependent correction. After the correction is applied, the energy response of the EMCal becomes more uniform.

## B. Linearity and Resolution

Following the analysis procedure described in previous sections, the energy resolution and linearity of the EMCal prototype was obtained for input energies ranging from 2 to 28 GeV, for both simulations and data.

Figure 8 shows the energy resolution and linearity of the EMCal prototype using a cut of the size of a tower (approximately  $2.5 \times 2.5 \ cm^2$ ) centered at the tower. The results are shown for data and simulations and include all 306

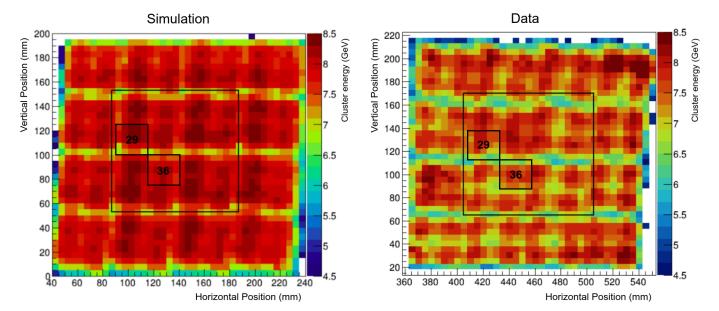


Fig. 6. Cluster energy vs. Position for simulations (left panel) and data (right panel). The results correspond to an input energy of 8 GeV. The central 4x4 towers are shown in big black squares, and the locations of Towers 29 and 36 are shown in small black squares.

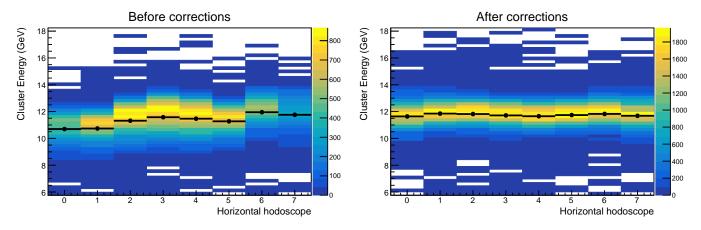


Fig. 7. Cluster energy vs. Horizontal Hodoscope Position before (left panel) and after (right panel) the position dependent correction is applied. The color scale represents the number of events, while the data points correspond to the mean of the energy distributions for each hodoscope position. The data corresponds to a beam of 12 GeV centered at Tower 36.

corrections. The error bars in the data points correspond to statistical uncertainties. The linearity was obtained as  $E_{cluster} = E_{input} + cE_{input}^2$ , where c is a constant. The resolution was obtained as  $\sigma(E_{cluster})/\langle E_{cluster} \rangle = \delta p/p \oplus a \oplus b/\sqrt{E_{input}}$ , where a and b are constants, and a  $\delta p/p \sim 2\%$  term was added to account for the beam momentum spread. Table II shows the values of the fit constants a, b, c.

Figure 8 shows good agreement between towers in terms 314 of linearity and resolution, for both the hodoscope-based and 315 cluster-based position dependent corrections. However, the 316 resolution obtained with the cluster-based correction differs 317 from the hodoscope-based correction by  $\sim 0.6\%$  in the constant 318 term and  $\sim 2.1\%$  in the  $1/\sqrt{E}$  term. Since the cluster based 319 correction depends on the position measured by the EMCal 320 itself and not the hodoscope, the difference in the results can 321 come from the position resolution of the EMCal. Additionally, 322 the energy resolution seems to be better in the simulations than 323

TABLE II EMCAL LINEARITY AND RESOLUTION FOR A  $2.5\times2.5~cm^2$  CUT centered on a tower

Resolution fit:  $\sigma(E_{cluster})/\langle E_{cluster} \rangle = 2\% \oplus a \oplus b/\sqrt{E_{input}}$ 

Linearity fit: 
$$E_{cluster} = E_{input} + cE_{inpu}^2$$

Tower	a	$b \; (GeV^{1/2})$	$c \; (GeV^{-1})$
36	$3.2\pm0.1$	$13.8\pm0.2$	$(-9.4 \pm 0.1) \times 10^{-4}$
29	$3.8\pm0.1$	$12.8\pm0.2$	$(-10.9 \pm 0.1) \times 10^{-4}$
36	$2.7\pm0.1$	$15.8\pm0.3$	$(-12.8 \pm 0.1) \times 10^{-4}$
29	$3.2\pm0.1$	$14.9\pm0.3$	$(-8.6 \pm 0.1) \times 10^{-4}$
	$2.66\pm0.02$	$12.0\pm0.04$	$(-12.7 \pm 0.1) \times 10^{-4}$
	36 29 36	$36$ $3.2 \pm 0.1$ $29$ $3.8 \pm 0.1$ $36$ $2.7 \pm 0.1$ $29$ $3.2 \pm 0.1$	36 $3.2 \pm 0.1$ $13.8 \pm 0.2$ 29 $3.8 \pm 0.1$ $12.8 \pm 0.2$ 36 $2.7 \pm 0.1$ $15.8 \pm 0.3$

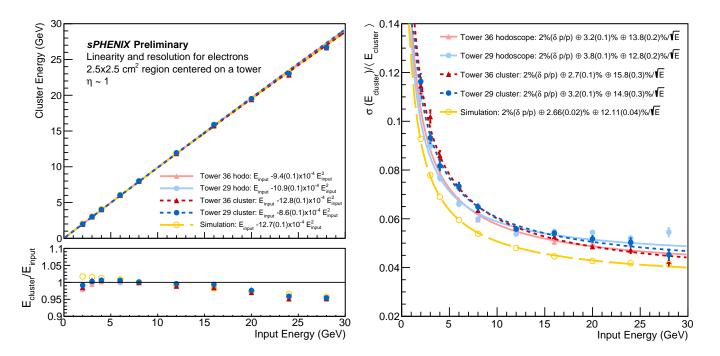


Fig. 8. Linearity and resolution of the EMCal prototype for a  $2.5 \times 2.5 \ cm^2$  centered on a tower. The data corresponds to Tower 36 (red and pink triangle points) and Tower 29 (light and dark blue full circles). The data was corrected using the hodoscope-based (solid lines) and cluster-based (fine dashed lines) position dependent corrections. Simulations (yellow open circles, coarse dashed line) are shown for comparison. (top left panel) Cluster energy vs. Input energy. (bottom left panel)  $E_{cluster}/E_{input}$  vs. Input Energy. The linearity was obtained as  $E_{cluster} = E_{input} + cE_{input}^2$ . (right panel) Resolution vs. Input energy. The resolution was obtained as  $\sigma(E_{cluster})/\langle E_{cluster} \rangle = \delta p/p \oplus a \oplus b/\sqrt{E_{input}}$ , where a  $\delta p/p \sim 2\%$  term was added to account for the beam momentum spread.

in the hodoscope corrected data by  $\sim 0.8\%$  in the constant term 324 and  $\sim 1.2\%$  in the  $1/\sqrt{E}$  term. These differences can come 325 from the lower energy collection at the boundaries between 326 towers and blocks. The differences in the resolution results 327 can be minimized by making a cut at the center of the towers 328 and excluding the boundaries. Figure 9 shows the linearity and 329 resolution results using a  $0.5 \times 1.0 \ cm^2$  cut at the center of the 330 towers. This figure shows better agreement between data and 331 simulations. Table III shows the corresponding linearity and 332 resolution fit constants. 333

TABLE III EMCAL LINEARITY AND RESOLUTION FOR A  $1.0\times0.5\ cm^2$  cut at the center of a tower

Resolution fit: $\sigma(E_{cluster})/\langle E_{cluster} \rangle$	$= 2\% \oplus a \oplus b/\sqrt{E_{input}}$
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Linearity	fit:	$E_{cluster}$	=	$E_{input}$	+	$cE_{innut}^2$
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	Tower	a	$b \; (GeV^{1/2})$	$c \; (GeV^{-1})$	
Data, hodoscope	36	$2.4 \pm 0.2$	$12.3\pm0.5$	$(-12.9 \pm 0.3) \times 10^{-4}$	
Data, hodoscope	29	$2.3\pm0.2$	$13.4\pm0.5$	$(\text{+}0.7 \pm 0.3) \times 10^{-4}$	
Data, cluster	36	$2.4\pm0.2$	$13.2\pm0.5$	$(-10.9 \pm 0.3) \times 10^{-4}$	
Data, cluster	29	$2.7\pm0.2$	$12.8\pm0.4$	$(-5.9 \pm 0.3) \times 10^{-4}$	
Simulation		$2.2\pm0.1$	$11.6\pm0.1$	$(-11.2 \pm 0.3) \times 10^{-4}$	

Comparing the 2018 results to the 2016 results of reference [19], the resolution improved for energies in the range 2 to 336 8 GeV. In terms of the resolution fit, the  $1/\sqrt{E}$  term of 336 the resolution decreased by  $\sim 2.5\%$  and the constant term 337 increased by  $\sim 0.65\%$ . Furthermore, linearity improved by  $\sim 1\%$  in the 2018 prototype with respect to the 2016 prototype. 339

## VIII. CONCLUSIONS

A prototype of the EMCal was constructed and tested, and 341 its energy response was studied as a function of position and 342 energy. The energy resolution and linearity of the EMCal pro-343 totype were obtained using two different position dependent 344 corrections (hodoscope-based and cluster-based) as well as a 345 beam profile correction. The two data sets used in this analysis 346 had beam energies ranging from 2 GeV to 28 GeV, but one had 347 the beam centered at Tower 36 and the other one had the beam 348 centered at Tower 29. The energy resolution was obtained 349 for each tower using a cut of  $2.5 \times 2.5 \ cm^2$  centered at the 350 tower. Based on the hodoscope position dependent correction, 351 the EMCal prototype was found to have a tower averaged 352 energy resolution of  $\sigma(E)/\langle E \rangle = 3.5(0.1) \oplus 13.3(0.2)/\sqrt{E}$ . 353 Based on the cluster position dependent correction, the tower 354 averaged resolution was found to be  $\sigma(E)/\langle E \rangle = 3.0(0.1) \oplus$ 355  $15.4(0.3)/\sqrt{E}$ . Both of these results meet the requirements of 356 the sPHENIX physics program. 357

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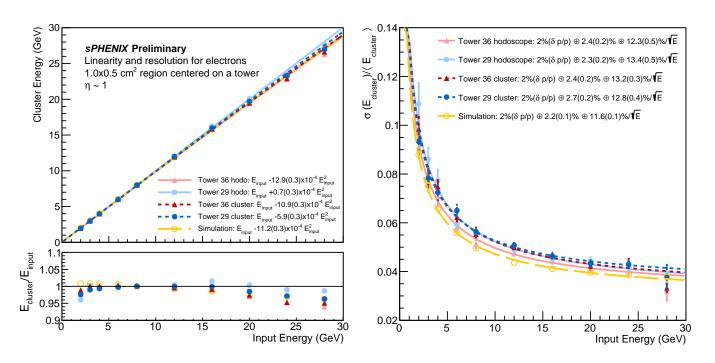


Fig. 9. Linearity and resolution of the EMCal prototype for a  $1.0 \times 0.5 \ cm^2$  cut at the center of a tower. The data corresponds to Tower 36 (red and pink triangle points) and Tower 29 (light and dark blue full circles. The data was corrected using the hodoscope-based (solid lines) and cluster-based (fine dashed lines) position dependent corrections. Simulations (yellow open circles, coarse dashed line) are shown for comparison. (top left panel) Cluster energy vs. Input energy. (bottom left panel)  $E_{cluster}/E_{input}$  vs. Input Energy. The linearity was obtained as  $E_{cluster} = E_{input} + cE_{input}^2$ . (right panel) Resolution vs. Input energy. The resolution was obtained as  $\sigma(E_{cluster})/\langle E_{cluster} \rangle = \delta p/p \oplus a \oplus b/\sqrt{E_{input}}$ , where a  $\delta p/p \sim 2\%$  term was added to account for the beam momentum spread.

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428

397