

# 1 Probing QGP Properties at The sPHENIX 2 Experiment

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8 **Abstract.** sPHENIX stands as a state-of-the-art experiment at the Relativistic Heavy  
9 Ion Collider, with its primary scientific objectives centering on probing the strongly  
10 interacting Quark-Gluon Plasma. This is achieved through high-precision measurements  
11 of hard probes within  $p + p$ ,  $p+Au$ , and  $Au+Au$  collisions. Notably, sPHENIX can  
12 provide measurements in the low transverse momentum region and offer kinematic  
13 overlap with the experiments at the Large Hadron Collider. The scientific pursuits  
14 of sPHENIX encompass a quartet of central themes: jet and photon physics, upsilon  
15 spectroscopy, open heavy flavor, and the realm of cold quantum chromodynamics. This  
16 proceeding elucidates the experiment's overarching scientific mission, expounds upon its  
17 ingeniously crafted detector design, and delineates the paramount performance criteria  
18 that underpin its operation. Conclusively, a glimpse into the anticipated outcomes of  
19 select measurements is also proffered for consideration.

## 20 1. Introduction

21 The Quark-Gluon Plasma (QGP) [1] represents a new form of matter characterized by  
22 remarkably low viscosity. It dominated the early universe for the initial six microseconds  
23 following the occurrence of the big bang. The outcomes derived from the Relativistic  
24 Heavy Ion Collider (RHIC) [2] and the Large Hadron Collider (LHC) [3] have unveiled the  
25 presence of QGP, achievable through collisions of relativistic heavy ions. The experimental  
26 scrutiny of QGP serves as a direct avenue for illuminating fundamental scientific inquiries,  
27 including those concerning the phase structure of Quantum Chromodynamics (QCD)  
28 and the restoration of chiral symmetry. The investigation of QGP necessitates precise  
29 measurements of hard probes encompassing jets, upsilon particles, and various other  
30 probes within the energy regime of RHIC.

31 The sPHENIX experiment will provide crucial insights into understanding the inner  
32 workings of the QGP through measurements of hard probes at RHIC [4]. It was proposed  
33 that sPHENIX would serve as an upgrade and replacement for the PHENIX experiment  
34 in 2010. In the subsequent years, detailed physics cases and detector designs have been  
35 successively developed [5, 6]. The sPHENIX collaboration was established in early 2016,  
36 comprising more than 360 members from 82 institutions across 14 countries by 2022.  
37 The installation of the detectors was completed and the first run started in March 2023.

Table 1: Summary of the sPHENIX Beam Use Proposal for years 2023 - 2025. The values in parentheses correspond to the 28-cryo-week scenario.

Year	Species	$\sqrt{s_{NN}}$ [GeV]	Cryo Weeks	Physics Weeks	Rec. Lum. $ z  < 10$ cm	Samp. Lum. $ z  < 10$ cm
2023	Au+Au	200	24(28)	9(13)	3.7(5.7) $nb^{-1}$	4.5(6.9) $nb^{-1}$
2024	$p^\uparrow p^\uparrow$	200	24(28)	12(16)	0.3(0.4) $pb^{-1}$ [5kHz] 4.5(6.2) $pb^{-1}$ [10%-str]	45(62) $pb^{-1}$
2024	$p^\uparrow + Au$	200	-	5	0.003 $pb^{-1}$ [5kHz] 0.01 $pb^{-1}$ [10%-str]	0.11 $pb^{-1}$
2025	Au+Au	200	24(28)	20.5(24.5)	13(15) $nb^{-1}$	21(25) $nb^{-1}$

38 Further information regarding the status and performance of the sPHENIX experiment  
 39 can be found in the earlier proceedings published in EPJ Web of Conference, which were  
 40 prepared by Hideki Okawa for the 20th International Conference on Strangeness in Quark  
 41 Matter (SQM 2022) [7].

## 42 2. sPHENIX Physics

43 The sPHENIX experiment is a specific priority outlined in the DOE/NSF NSAC  
 44 2015 Nuclear Physics Long Range Plan. It is anticipated that this endeavor  
 45 can be accomplished within three years of operation, during which it will conduct  
 46 unparalleled and precise measurements of high-energy probes of the QGP at RHIC. These  
 47 measurements aim to investigate the physical origin and evolution of the QGP, its internal  
 48 structure, its dependence on initial temperature, and its interactions with various high-  
 49 energy probes. A summary of the sPHENIX Beam Use Proposal for the years 2023-2025  
 50 is provided in Table 1 [8].

51 Commencing from March 2023, over 50% of the Year-1 data is allocated for the  
 52 commissioning of all detector subsystems and full detector operations. This phase will  
 53 also serve to validate calibration and reconstruction processes. During the inaugural year  
 54 of the run plan, the collection of Au+Au data sets will be initiated, enabling sPHENIX to  
 55 replicate and expand upon "standard candle" measurements at RHIC. In Year-2 (2024),  
 56 the detector commissioning will extend to  $p + p$  collisions, yielding extensive datasets  
 57 essential as references for heavy ion physics. Additionally, a sizable  $p+Au$  data set  
 58 will be collected, introducing new opportunities for studying cold QCD. Year-3 (2025)  
 59 will concentrate on accumulating a substantially extensive dataset of Au+Au collisions,  
 60 providing unparalleled statistical precision and accurate measurements of jets and heavy  
 61 flavor observables.

62 The four core physics programs of sPHENIX encompass jet and photon physics  
 63 across a range of momentum and angular scales, upilon spectroscopy involving various  
 64 sizes, open heavy flavor studies spanning different momentum scales and parton masses,  
 65 and investigations into cold Quantum Chromodynamics designed to explore proton spin,  
 66 transverse momentum, and cold nuclear effects.

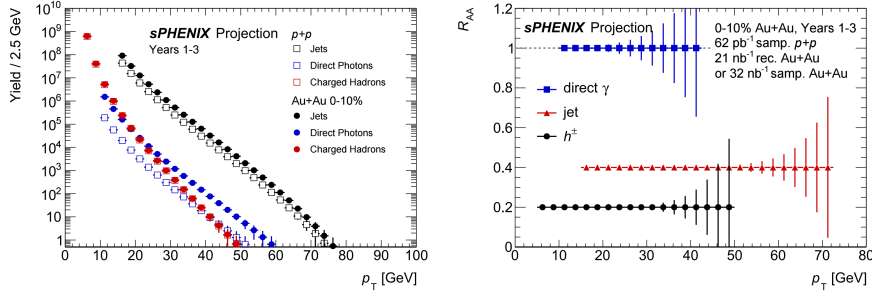


Figure 1: (color online) Projected total yields (left) and the nuclear modification factor  $R_{AA}$  (right) for jets, photons, and charged hadrons in 0 - 10%  $Au + Au$  events and  $p + p$  events, for the first three years of sPHENIX data-taking.

## 67 2.1. High- $p_T$ Probes

68 The detection of QGP through precise measurements of jets, direct photons, and  
 69 hadrons constitutes a core component of the sPHENIX scientific program. Figure 1  
 70 illustrates the projected total yields (left) and the nuclear modification factor  $R_{AA}$  (right)  
 71 for jets, photons, and charged hadrons in 0-10%  $Au + Au$  events (based on a Glauber MC  
 72 simulation [9]) as well as  $p + p$  events, spanning the first three years of sPHENIX data  
 73 collection. The combination of high data rates and a hermetic EMCal+HCAL calorimeter  
 74 system provides an extensive  $p_T$  range, reaching approximately 50 GeV for hadrons,  
 75 40 GeV for photons, and 70 GeV for jets. Notably, sPHENIX excels in the precise  
 76 measurement of the low  $p_T$  region, a task that proves challenging at the LHC. Furthermore,  
 77 sPHENIX's kinematic range exhibits overlap with that of the LHC, thereby affording  
 78 valuable opportunities for complementary investigations of hard probes under distinct  
 79 QGP conditions.

## 80 2.2. Jet Physics

81 Over the course of the three-year data collection period, sPHENIX will collect  
 82 a substantial quantity of data samples aimed at meticulously reconstructing jet  
 83 measurements. These encompass various aspects such as jet yield, di-jet events, jet  
 84 (sub-) structure and properties, photon-tagged jet quenching measurements, jet-hadron  
 85 correlations, as well as the yield and properties of b-quark jets. In Figure 2 (left),  
 86 statistical projections illustrate the jet-to-photon  $p_T$  balance  $x_{J\gamma}$  for photons with  $p_T >$   
 87 30 GeV. This constitutes a "flagship" measurement characterized by high statistics and  
 88 offering a direct assessment of jet energy loss. The sPHENIX measurement of  $x_{J\gamma}$  presents  
 89 a distinctive opportunity for comparison with the LHC, despite the significantly divergent  
 90 center-of-mass energies [10]. Figure 2 (right) portrays the statistical projections of the  
 91 groomed momentum fraction for jets with  $p_T >$  40 GeV. This specific projection pertains  
 92 to jet substructure measurements, facilitated by sPHENIX's finely segmented calorimeter  
 93 and excellent tracking resolution. The exploration of jet substructure is poised to elucidate  
 94 the development of parton showers within the QGP, offering insights into fundamental  
 95 parton-level splittings.

96 sPHENIX data will impose stringent constraints on the coupling of b-quarks to  
 97 the QGP through  $R_{AA}$  measurements extending to the low- $p_T$  region, as depicted in

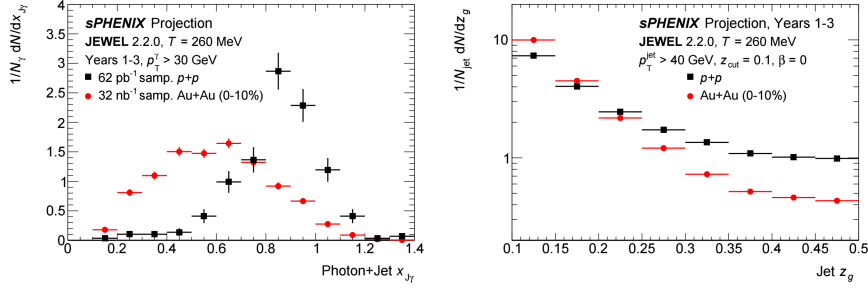


Figure 2: (color online) Statistical projections for the jet-to-photon  $p_T$  balance,  $x_{J\gamma} = \frac{p_T^{jet}}{p_T}$  (left) and the groomed momentum fraction  $z_g = \frac{\min(p_{\perp,1}, p_{\perp,2})}{p_{\perp,1} + p_{\perp,2}}$  (right).

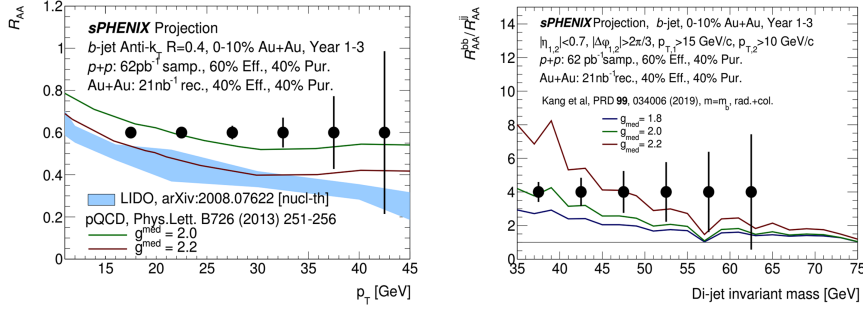


Figure 3: (color online) Projected statistical uncertainties of nuclear modification factor  $R_{AA}$  measurements of b-jets (left) as a function of  $p_T$  in 0 - 10% central Au+Au collisions at  $\sqrt{s_{NN}} = 200$  GeV from the three-year sPHENIX operation and b-jet-light-jet super-ratio (right) along with pQCD calculations from Ref. [11].

98 Figure 3 (left). This endeavor will mark the inaugural b-jet tagging at RHIC, founded  
 99 upon sPHENIX's precision assessment of the distance of closest approach (DCA) for  
 100 tracks and its secondary vertex tagger. Figure 3 (right) presents the envisaged statistical  
 101 uncertainties pertaining to the suppression of back-to-back heavy-flavor di-b-jet pairs,  
 102 juxtaposed with pQCD calculations. This projection underscores how the measurement  
 103 of the b-jet-light-jet super-ratio will deliver strong sensitivity to the parton mass effect,  
 104 thereby presenting a unique opportunity for scrutinizing the behavior of quarks within  
 105 the QGP.

### 106 2.3. Open Heavy Flavor

107 Heavy flavor quarks traversing the QGP serve as unique probes for investigating  
 108 the interaction between quarks and the QGP, encompassing mass-dependent energy loss  
 109 and collectivity within the QGP medium. Leveraging sPHENIX's state-of-the-art vertex  
 110 tracking system and high-rate streaming DAQ, precision measurements of heavy flavor at  
 111 RHIC will subject models describing the coupling between heavy quarks and the QGP  
 112 medium to rigorous scrutiny.

113 Benefiting from the distinct separation of open bottom quarks through Distance  
 114 of DCA analysis, sPHENIX will conduct a comparative analysis of non-prompt and  
 115 prompt  $D^0$  mesons. Figure 4 (left) showcases the projected  $R_{AA}$  measurements for non-  
 116 prompt/prompt  $D^0$  mesons, revealing that nuclear modifications for bottom quarks and

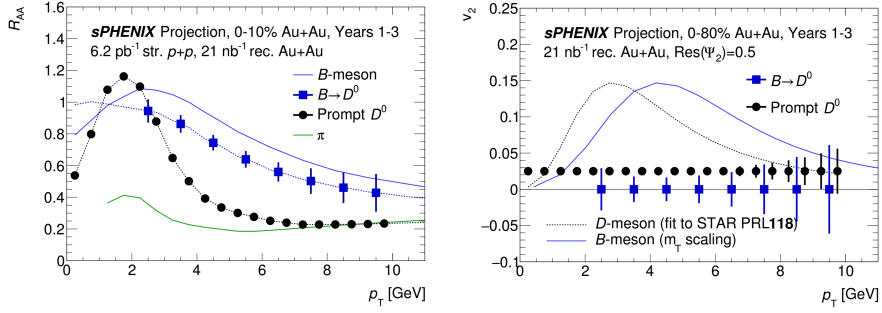


Figure 4: (color online) Projected statistical uncertainties of  $R_{AA}$  (left) and  $v_2$  (right) measurements of non-prompt/prompt  $D^0$  mesons.

117 light quarks are anticipated to exhibit marked differences for  $p_T < 15$  GeV. Figure 4 (right)  
 118 offers a projection of elliptic flow  $v_2$  measurements for non-prompt/prompt  $D^0$  mesons  
 119 within the low- $p_T$  range. These measurements, achieved with unprecedented precision,  
 120 promise a distinctive perspective on the interaction between heavy flavor quarks and the  
 121 medium. Furthermore, they will exert substantial constraints on the heavy quark diffusion  
 122 transport parameter of the QGP medium, including its temperature dependence.

123 sPHENIX's capabilities extend to the precise measurement of the  $\Lambda_c/D$  ratio at  
 124 RHIC, contributing to an enhanced understanding of charm hadronization.

#### 125 2.4. Upsilon Spectroscopy

126 The Upsilon particle arises from hard scatterings in the early stages of relativistic  
 127 heavy-ion collisions, consequently traversing the entire evolution of the QGP. The  
 128 sPHENIX experiment uniquely stands poised to explore the distinct suppression effects  
 129 exerted by the QGP medium on the three quantum states of the Upsilon particle via  
 130 di-electron channels. This distinctive capability capitalizes on sPHENIX's exceptional  
 131 precision in measuring Upsilon production, underscored by its remarkable mass resolution  
 132 of  $\delta M < 125$  MeV and robust signal extraction prowess. This enables the clear  
 133 differentiation of the  $\Upsilon(1S, 2S, 3S)$  states. The investigation of centrality and, in  
 134 particular, the  $p_T$  dependence holds pivotal importance for fostering comparisons between  
 135 RHIC and the LHC (Figure 5).

136 Leveraging machine learning offers substantial advantages in particle identification.  
 137 The machine learning algorithm developed by the sPHENIX simulation group is  
 138 anticipated to significantly enhance the identification accuracy of signal electrons, bolster  
 139 the rejection efficiency for background hadrons, and consequently amplify the signal-to-  
 140 noise ratio for Upsilon particle measurements. This advancement is especially noteworthy  
 141 for the signal extraction of the  $3^{rd}$  state, which was recently observed for the first time in  
 142 Pb+Pb collisions by CMS [12].

#### 143 2.5. Cold QCD

144 Equipped with trigger capabilities and a high-rate DAQ system, sPHENIX also  
 145 presents invaluable opportunities for delving into cold QCD investigations through the  
 146 measurements of jets, photons, and charged hadrons in  $p + p$  and  $p + \text{Au}$  collisions. Figure

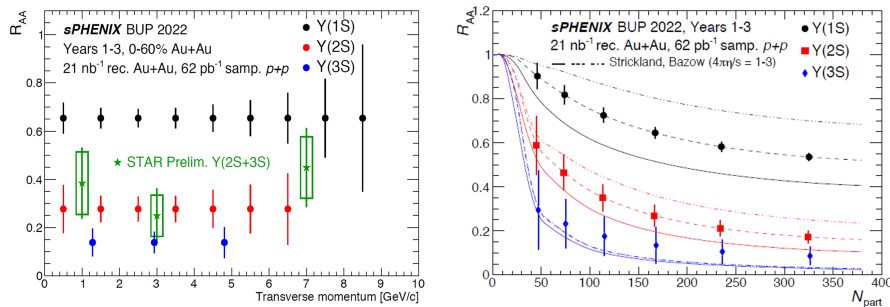


Figure 5: (color online) Projected statistical uncertainties of  $R_{AA}$  measurements of Upsilon three states relative to  $p_T$  (left) and  $N_{part}$ .

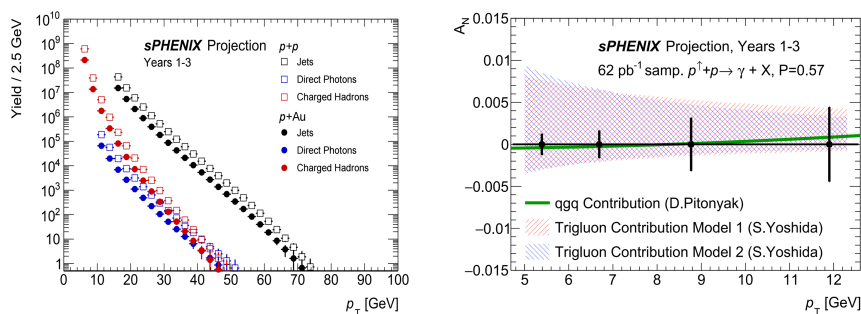


Figure 6: (color online) Projected total yields (left) for jets, photons, and charged hadrons in centrality-integrated  $p + p$  and  $p + Au$  events, and projected statistical uncertainties for direct photon the transverse spin asymmetry  $A_N$  (right), for the first three years of sPHENIX data-taking.

147 6 (left) illustrates the anticipated total yields for jets, photons, and charged hadrons in  
 148 centrality-integrated  $p + p$  and  $p + Au$  events. This projection suggests that sPHENIX is  
 149 poised to amass ample data, facilitating jet measurements reaching up to approximately  
 150 70 GeV, and capturing charged hadrons and photons up to approximately 45 GeV.  
 151 Spin-related measurements at sPHENIX, including the transverse single spin asymmetry  
 152 (TSSA), can be realized through prompt photons and  $D_0$  mesons in beam-polarized  $p^\uparrow + p$   
 153 collisions. This setup serves as a probe to explore gluon dynamics within a transversely  
 154 polarized nucleon, incorporating tri-gluon correlations. Figure 6 (right) outlines the  
 155 envisaged statistical uncertainties for the direct photon transverse spin asymmetry  $A_N$ .

### 156 3. sPHENIX Detector

157 The sPHENIX detector stands out due to its high data rates of 15 kHz for all  
 158 subdetectors, coupled with extensive coverage and precise tracking and calorimetry  
 159 systems. Its focus lies in introducing new measurement capabilities within the RHIC  
 160 energy range. This encompasses enabling comprehensive, unbiased jet reconstruction,  
 161 b-jet tagging, and investigations into the three upsilon states, both in heavy-ion and pp  
 162 collisions. The sPHENIX detector's configuration is depicted in Figure 7 and comprises  
 163 a tracking system and a calorimetry system. The tracking system is comprised of the  
 164 MAPS-based micro-vertex detector (MVTX), the intermediate tracking detector (INTT),  
 165 the time projection chamber (TPC), and the TPC outer tracker (TPOT), ordered from

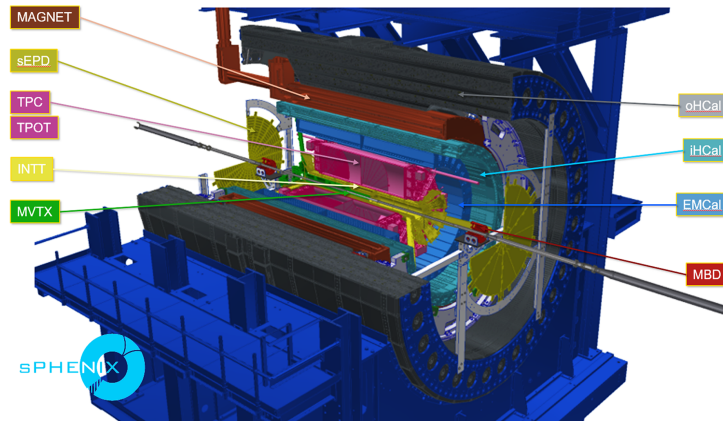


Figure 7: (color online) The engineering drawing of the sPHENIX detector with its support structure.

166 the innermost to the outermost layer. This tracking system is enveloped by a calorimeter  
 167 situated within a 1.5 Tesla Solenoid magnet. The calorimeter design encompasses an  
 168 electromagnetic calorimeter (EMCal) and two hadron calorimeters (HCal): the inner  
 169 HCal (iHCal) and the outer HCal (oHCal). The iHCal is positioned within the Solenoid  
 170 magnet, while the oHCal is located outside it. Additionally, flanking the collision point  
 171 along the beam axis are the minimum bias detector (MBD) and the sPHENIX event plane  
 172 detectors (sEPD). The sPHENIX detector provides complete azimuthal ( $\varphi$ ) coverage of  
 173  $4\pi$  and covers a pseudo-rapidity ( $\eta$ ) range from -1.1 to 1.1. Its hybrid streaming/triggered  
 174 readout system enables optimal utilization of the RHIC's luminosity.

### 175 3.1. Tracking Detectors: MVTX, INTT, TPC, and TPOT

176 The MVTX, positioned in close proximity to the beam pipe, incorporates 3-layer  
 177 Monolithic Active Pixel Sensors (MAPS) technology. This design draws inspiration  
 178 from the ALICE ITS-2 inner barrel design (ITS-2) [13], and it excels in achieving an  
 179 excellent 2-D DCA resolution. This capability is crucial for delivering highly precise  
 180 vertex measurements, particularly for decays of particles containing b and c quarks. The  
 181 INTT, comprised of two barrels with two layers each, utilizes silicon strip detectors.  
 182 These components are placed within the TPC. Notably, the INTT has a fast  $O(100$   
 183 ns) integration time, enabling it to effectively resolve one beam crossing. Encompassing  
 184 pseudorapidities  $\eta < |1.1|$ , the TPC represents a compact structure equipped with 48  
 185 layers, with radii ranging from 20 to 78 cm. This implementation is based on the Gas  
 186 Electron Multiplier technology, which plays a crucial role in providing sPHENIX with the  
 187 requisite invariant mass resolution [14]. Inserted between the TPC and EMCal, the TPOT  
 188 is composed of 8 Micromegas modules. It serves the critical purpose of calibrating beam-  
 189 induced space charge distortions within the TPC, ensuring the accuracy of measurements.

190 With an efficiency of approximately 90% for  $p + p$  collisions at  $p_T > 1$  GeV (depicted  
 191 in Figure 8, left panel), this tracking system holds great promise for investigating rare  
 192 processes, such as  $\Upsilon(nS)$  decays. Furthermore, the tracking  $p_T$  resolution is maintained at  
 193 less than 2% for  $p_T < 10$  GeV (as illustrated in Figure 8, middle panel), thereby satisfying  
 194 the requirement of achieving  $\delta M < 125$  MeV for the separation of  $\Upsilon(nS)$  states. Equally



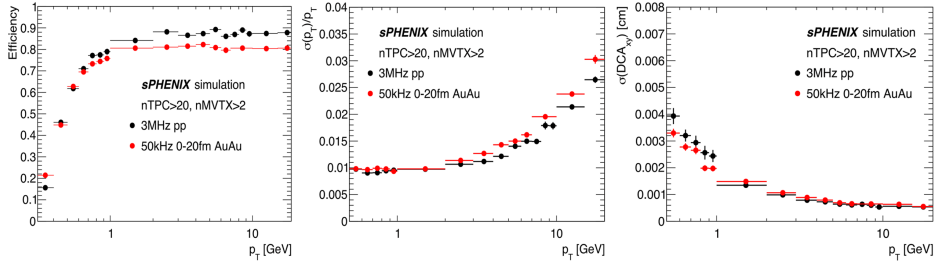


Figure 8: (color online) Tracking performance for reconstruction efficiency (left), the  $p_T$  resolution (middle), and the DCA resolution in the transverse direction (xy) (right) as a function of track  $p_T$ .

195 crucial is the system’s ability to achieve excellent DCA resolutions in both  $r - \Phi$  and  $z$   
 196 dimensions, with values remaining below  $40 \mu\text{m}$  for  $p_T > 0.5 \text{ GeV}$  (as demonstrated in  
 197 Figure 8, right panel). This level of precision is of paramount importance for conducting  
 198 accurate open heavy-flavor measurements.

### 199 3.2. Calorimeters:EMCal, inner-HCal, and outer-HCal

200 The calorimetry system consisting of EMCal, iHCal, and oHCal has a compact  
 201 structure with coverage of  $|\eta| < 1.1$  and  $2\pi$  in  $\varphi$  and with a common light collection  
 202 followed by silicon photomultipliers (SiPM) readout for both EMCal and HCal. The  
 203 EMCal is built with a granular segmentation of  $\Delta\eta \times \Delta\varphi = 0.025 \times 0.025$ , utilizing a  
 204 Tungsten/scintillating fiber SPACAL. It has a small Moliere radius, a short radiation  
 205 length, and an impressive energy resolution of  $\sigma_E/E \leq 16\%/\sqrt{E}$  [15, 16]. This  
 206 configuration enables efficient electron identification for the  $\Upsilon$  and photon measurements.  
 207 Additionally, it serves as a crucial component for jet reconstruction, working in tandem  
 208 with the iHCal and oHCal. The iHCal is constructed using aluminum-scintillating tiles  
 209 embedded with wavelength shifting (WLS) fibers, while the oHCal is assembled using  
 210 tilted steel plates and scintillator tiles with embedded WLS fibers. Both the iHCal and  
 211 oHCal are subdivided into granular towers with dimensions of  $\Delta\eta \times \Delta\varphi = 0.1 \times 0.1$ . The  
 212 overall HCal achieves an energy resolution of approximately  $20\%/\sqrt{E}$  [15], a performance  
 213 level that satisfies the jet energy resolution requirement.

### 214 3.3. Minimum Bias Detector

215 The sPHENIX MBD delivers a high-efficiency minimum-bias trigger, surpassing 90%  
 216 efficiency for heavy ion collisions. It plays a crucial role in various aspects, including the  
 217 reconstruction of centrality, reaction plane, start time, and interaction vertex. The MBD  
 218 is ingeniously repurposed from the existing PHENIX Beam-Beam counter, incorporating  
 219  $2 \times 64$  channels of 3 cm thick quartz radiator aligned with a mesh dynode photomultiplier.  
 220 Notably, it achieves a remarkable timing resolution of 120 ps.

### 221 3.4. sPHENIX Event Plane Detector

222 The sEPD is for measuring the event plane and centrality outside of mid-rapidity,  
 223 covering a range of  $2.0 < |\eta| < 4.9$ . It exploits 1.2-cm-thick scintillators with WLS fibers



224 and consists of two wheels of 12 sectors with 31 optically-isolated tiles.

### 225 3.5. Hybrid Data Acquisition Structure

226 sPHENIX adopts a hybrid DAQ of two paths for data taking. One is the nominal  
227 sPHENIX DAQ model assuming calorimeter-based Level-1 triggers for the observables,  
228 such as photons and jets, leave clear signatures in the calorimeter system. The other one  
229 is the streaming readout mode supported by all tracking detectors s (MVTX, INTT, and  
230 TPC) without requiring the Level-1 trigger and it's for recording 10% of all collisions.  
231 This hybrid trigger-streaming DAQ will significantly increase  $p + p$  data collection and is  
232 crucial for open heavy flavor physics as well as cold QCD measurements.

## 233 4. Conclusions

234 With high DAQ and trigger rate, sPHENIX enables new measurements of the  
235 microscopic nature of QGP. It is a state-of-the-art experiment at RHIC and consists  
236 of a highly precise tracking system and a large-hermetic calorimetry system, providing  
237 unique opportunities in low energy and offering kinematic overlap with the LHC. A wide  
238 range of physics are covered at sPHENIX: jet and photon physics, upsilon spectroscopy,  
239 open heavy flavor, and cold QCD. The installation of the detectors was completed, with  
240 the first data acquisition scheduled for 2023.

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