

2 *D-meson tagged jets in sPHENIX*

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7 Abstract

8 The sPHENIX experiment will perform the first bottom hadron and jet measurements
9 to study the properties of the created Quark Gluon Plasma (QGP) in heavy ion collisions.
10 Charm-hadron inside jet production in $p + p$ and A+A collisions can not only help revealing
11 the flavor-dependent energy loss in different nuclear medium conditions, but also provide
12 the direct insight on the charm quark hadronization process. The KFParticle and ParticleFlow
13 algorithms have been implemented in the sPHENIX software framework for heavy flavor jet
14 reconstructions. Jets tagged with the presence of a D-meson as one of their constituents are
15 selected as jets originating from heavy-flavor quark fragmentation. In this note, we report the
16 first D^0 -jet studies in $p + p$ simulation. The tagging algorithm discussed in this note will be
17 optimized for Au+Au collisions and ongoing Au+Au simulation studies will be reported in
18 another note.

19 1 Introduction

20 Heavy flavor jet production at RHIC has its unique features compared to LHC measurements.
21 Lower jet transverse momentum coverage can be accessed by the sPHENIX measurements than
22 existing LHC results. Moreover, less recombination effects are expected for the sPHENIX heavy
23 flavor measurements compared to LHC studies [1], which will result in a different nuclear
24 modification of sPHENIX heavy flavor production [2]. This notes summarizes the latest simulation
25 studies for D^0 tagged jets in 200 GeV $p + p$ collisions, which have different fragmentation functions
26 from bottom jets (b-jets).

27 Jets tagged with the presence of a D-meson as one of their constituents are selected as jets
28 originating from heavy-flavor quark fragmentation. This note presents the 2D η versus ϕ raw
29 distributions of D^0 -jets and 2D D^0 -jet versus D^0 raw transversal momentum distribution. None of
30 the figures have been corrected by D^0 -jet reconstruction efficiency or detector effects (unfolding),
31 which will be evaluated later.

32 2 Simulation

33 The figures presented in this note are from PYTHIA8 [3] simulations of p+p collisions at $\sqrt{s} =$
34 200 GeV without pileup and tuned to the minimum bias condition environment at RHIC [4]. The
35 generated events were required to have at least one $c\bar{c}$ in order to enhance the production of D^0
36 mesons and at least one jet with a minimum transverse momentum of 5 GeV/c or 12 GeV/c in
37 order to enhance the jet sample. The PYTHIA 8 configuration is listed below:

- 38 • PDF:pSet = 13
- 39 • HardQCD:hardccbar = on
- 40 • SoftQCD:inelastic = off
- 41 • Charmonium:all = off
- 42 • D-mesons (411,421,413,...) onMode = off
- 43 • 421:onIfMatch = 321 -211
- 44 • 421:onIfMatch = -321 211
- 45 • ColourReconnection:mode = 2
- 46 • TimeShower:alphaSvalue = 0.18
- 47 • PhaseSpace:pTHatMin = 5.0 (production tag 17) or 12 (production tag 18).

48 Here NNPDF2.3 QCD+QED LO PDF set is used, NLO $\alpha_s(M_Z)$ equals to 0.130.

49 The sPHENIX detector was simulated using GEANT4[5] and the events were fully reconstructed
50 taking into account all subsystems in the central barrel, but also the beam pipe and detectors
51 are forward and backward rapidity like the Minium Bias Detector (MBD) and the Event Plane
52 Detector (sEPD).

53 In the central barrel, the closest detector to the beam pipe if the monolithic active pixel sensor
54 vertex detector (MVTX). Going away from the beam pipe, the next detector after the MVTX is

55 the Intermediate Tracker (INTT). These two detectors are the vertex detectors responsible for
56 providing the reconstruction of the D-meson decay topology. The INTT will also provide fast
57 timing for rejecting most of the pile-up expected to be seen in p+p collisions.

58 Continuing outwards, the next detector is the Time-Projection Chamber, which is the main tracking
59 detector responsible for precise track momentum reconstruction, which is a key element for good
60 D^0 transverse momentum resolution.

61 After the tracking detectors is where the Electromagnetic Calorimeter (EMCal) is located, followed
62 by the Inner Hadronic Calorimeter (IHCAL), a magnet operating in 1.4 T and, finally, the Outer
63 Hadronic Calorimeter (OHCAL). The information from the calorimeters is combined with tracks
64 in a sPHENIX implementation of particle flow. Charged particles in particle flow are dominated
65 by tracks, which have a p_T resolution better than 2% for particles in the range $0.5 < p_{T,\text{track}} < 10$
66 GeV/c [6]. Particles that do not leave a track in the tracking detectors are reconstructed by the
67 calorimeters and their resolution depend on the specific subsystem [7]. Photon reconstruction
68 is mainly driven by the EMCal, which count on energy resolution of $3\% \oplus 16\%/\sqrt{E}$. Neutral
69 hadrons, such as K^0 , are reconstructed by the HCAL, with a resolution of $14\% \oplus 65\%/\sqrt{E}$. The
70 energy resolution that depend on particle species affects the reconstructed energy of the jet tagged
71 by D-mesons, which has to be corrected by unfolding [8].

72 3 Software and reconstruction method

73 The first step in the D-jet reconstruction is the reconstruction of D mesons candidates. This is done
74 using KFPARTICLE [9] developed by the CBM Collaboration and adapted to Fun4All in sPHENIX.
75 The code has been updated with small bug fixes but remains basically the same used and presented
76 in previous works [10].

77 Particle flow elements are reconstructed with the combination of tracks and topo-clusters. The
78 method used for topo-cluster reconstruction in sPHENIX is very similar to the one used by ATLAS
79 Collaboration [11]. In sPHENIX, two different types of topo-clusters are reconstructed:

- 80 • EMCal topo-clusters: reconstructed using only the information of the EMCal
- 81 • HCal topo-clusters: 3D topological clusters reconstructed using the IHCAL and OHCAL

82 The 3D topological clusters will cluster towers not only close in η and ϕ but also considers towers
83 in the two layers of the HCal. The clusterization procedure mainly depends on a seed parameter
84 (S) and a growth parameter (N). The S parameter is an integer number that dictates the minimum
85 required energy for a tower to be selected as a seed that will start a clusterization process. The
86 minimum energy is defined as a product of the S parameter and the noise of the given detector.
87 The noise in the calorimeters was studied during the beam test of the calorimeters [12] and, the
88 current values used are 0.03 GeV for the EMCal, 0.0025 GeV for the IHCAL and 0.006 GeV for the
89 OHCAL. Currently, for p+p collisions, the values used are $S = 4$ and $N = 2$;

90 Once the seeds are identified based on the S parameter criterion, they are ordered in descending
91 order for the clusterization process. The minimum required energy for a tower in the neighborhood
92 of a seed to be merged is given by the g parameter, which is multiplied by the average noise of

93 the detector in the same way done for the seed criterion. For the HCal topo-clusters, the towers in
 94 the upper (if the seed is located in the IHCal) or lower layer (if the seed is located in the OHCal)
 95 are also considered as neighbor towers.

96 The topo-clusters are then combined with tracks in such a way to avoid double counting of
 97 signals. Initially the tracks are projected outward up to the EMCal and OHCal radii establishing
 98 the η and ϕ positions of the track in the given calorimeter. All topo-clusters satisfying a $\Delta R =$
 99 $\sqrt{(\eta_{track} - \eta_{cluster})^2 + (\phi_{track} - \phi_{cluster})^2} < 0.2$ are associated with the track.

100 The way particles are solved follows the CMS approach [13] in a very similar way. At the end,
 101 clusters associated with a track and considered to be the same particle are removed and the
 102 track kinematics is used to create a particle flow element considering the mass of a charged pion.
 103 Topo-clusters not associated with tracks are used and identified as photons (EMCal topo-clusters)
 104 or neutral hadrons (HCal topo-clusters).

105 The code for topo-cluster and particle flow reconstruction can be found in the sPHENIX core-
 106 software repository (<https://github.com/sPHENIX-Collaboration/coresoftware/blob/master>),
 107 respectively in `/offline/packages/CaloReco/RawClusterBuilderTopo.cc` and
 108 `/offline/packages/particleflow/ParticleFlowReco.cc`.

109 The D-jet reconstruction module is also in the sPHENIX coresoftware and can be found in the
 110 directory `/offline/packages/ResonanceJetTagging`. This module is capable of reconstruct jets
 111 tagged with any D-meson species reconstructed by KFParticle and particle flow elements using the
 112 FastJet [14] software. The reconstruction strategy consists in removing from the event the particle
 113 flow elements associated to the D-meson decay daughters and replace them by the D-meson
 114 4-momentum vector. This scheme is pictured in figure 1 and makes the determination of which
 115 jet contains the D-meson univocal. In the past, jets were tagged by using the geometrical distance
 116 in the η and ϕ phase space of the D-meson and the jet [15], which should be smaller than the jet
 117 resolution parameter R. This could create cases where none (or only part) of the D meson decay
 118 daughters were in fact constituents of the jet, originating problems when calculating observables
 119 such as the D-jet momentum fraction.

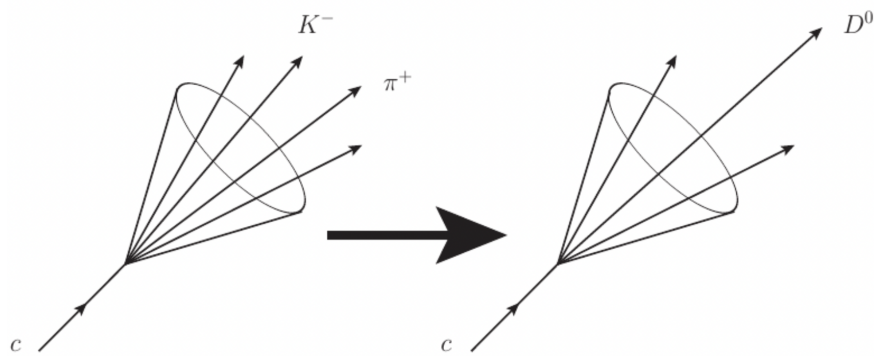


Figure 1: D^0 -jet reconstruction scheme. D^0 decay daughters are replaced by the D^0 4-momentum vector

120 Another issue the code addresses is the possibility of having two D-meson candidates sharing the
 121 same decay daughter. This is pictured in figure 2 where two D^0 candidates were identified with
 122 different charged pions but sharing the same kaon. This is maybe unlikely in low multiplicity

123 events like p+p collisions depending on the selections applied to the decay daughters, but becomes
 124 more common in heavy-ion collisions and has to be taken into account. The strategy to solve this
 125 issue is to remove the particle flow elements associated with the D-meson decay daughter and
 126 replace them by the D-meson 4-momentum vector for every D-meson candidate. This selection
 127 aims to remove any p_T selection biases. This means that jets will be reconstructed once per
 128 D-meson candidate and will create one D-jet candidate for each D-meson candidate.

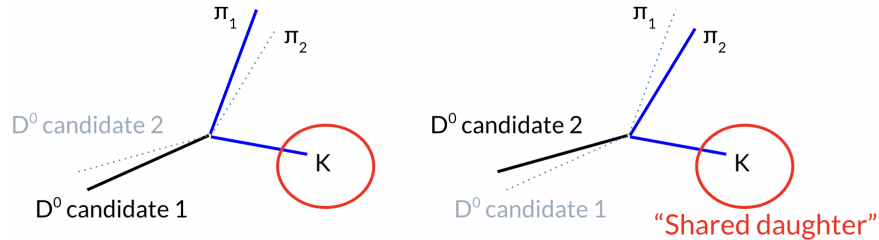


Figure 2: Illustration of the daughter sharing problem. D^0 candidate 1 is reconstructed from π_1 and the kaon and candidate 2 from π_2 and the same kaon.

129 In simulations, the match between the D^0 -jets from fully reconstructed signals and D^0 -jets from
 130 generated level particles from PYTHIA8 is done by the presence of the same D^0 in generated and
 131 reconstructed levels. Again, no geometric criterion is necessary.

132 4 Cut selection

133 All figures presented in this note use the anti- k_t algorithm. The jet resolution parameter (cone
 134 radius), R , is selected at 0.4, and the E-scheme is used for the jet reconstruction.

135 The D^0 selection cuts, which use the same cut selection in sPH-HF-2023-01 [16], are listed below:

- 136 • Track $p_T > 0.7$ GeV/c;
- 137 • Track $\chi^2/\text{NDF} < 5$;
- 138 • Track IP > 0.0025 cm;
- 139 • Track IP $\chi^2 < 3$;
- 140 • Track-Track DCA < 0.008 cm;
- 141 • Recon D^0 $\chi^2/\text{NDF} < 5$;
- 142 • Recon D^0 DIRA > 0.9 ;
- 143 • Recon D^0 mass in $[1.7, 2.0]$ GeV/ c^2 ;
- 144 • Recon D^0 $p_T > 1.5$ GeV/c (3.0 GeV/c).

145 The alternative D^0 $p_T > 3.0$ GeV/c was chosen to study the same kinematic distributions with
 146 less combinatorial backgrounds and better DCA resolution. Plots with this cut are documented in
 147 another note.

148 The D^0 -jet selection cuts are listed below:

- 149 • Require the jet to contain a D^0 and at least another particle;
- 150 • Jet $p_T > 7 \text{ GeV}/c$ (10 GeV/c);
- 151 • Reconstructed Jet mass $>$ reconstructed D^0 mass $+ 0.5 \text{ GeV}/c^2$;
- 152 • Uses the default calorimeter noise setting and energy threshold cuts in the sPHENIX Particle-
153 Flow algorithm.

154 The alternative jet $p_T > 10.0 \text{ GeV}/c$ was applied together with the D^0 $p_T > 3.0 \text{ GeV}/c$ cut. Plots
155 with this cut are documented in another note.

156 Two sPHENIX D^0 -jet simulation samples have been produced, one sample contains 1M events
157 with the $5 \text{ GeV}/c$ $\hat{p}_{T,min}$ selection and the other sample contains 1M events with the $12 \text{ GeV}/c$
158 $\hat{p}_{T,min}$ selection. This note only contains the D^0 tagged jet related kinematics using the sPHENIX
159 D^0 -jet simulation with the $12 \text{ GeV}/c$ $\hat{p}_{T,min}$ selection. Other distributions using both the $5 \text{ GeV}/c$
160 and $12 \text{ GeV}/c$ simulations will be documented in a separate note.

161 5 Results of the reconstructed D^0 kinematics

162 The kinematics of the D^0 and D^0 -jet at the truth and reconstruction levels have been extensively
163 studied. Here we only present selected kinematic distributions. It's important to first validate the
164 D^0 's kinematics in simulation as these D^0 's are the seeds to form D^0 tagged jets.

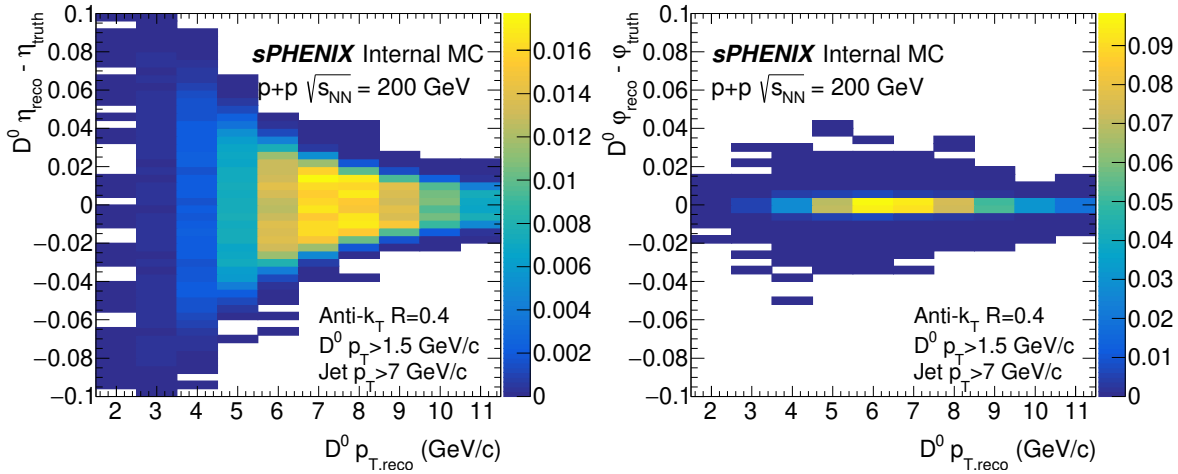


Figure 3: The reconstructed D^0 p_T dependent $\eta_{reco}-\eta_{truth}$ (left) and reconstructed D^0 p_T dependent $\phi_{reco}-\phi_{truth}$ (right) using the sPHENIX 12 GeV D^0 -jet simulation samples. Jets are reconstructed with the Anti- k_T algorithm and the cone radius is at 0.4. The selection cuts include D^0 $p_T > 1.5 \text{ GeV}/c$ and the D^0 tagged jet $p_T > 7 \text{ GeV}/c$.

165 Figure 3 shows the reconstructed D^0 p_T dependent $\eta_{reco}-\eta_{truth}$ (left) and reconstructed D^0 p_T
166 dependent $\phi_{reco}-\phi_{truth}$ distributions using the sPHENIX 12 GeV D^0 -jet simulation samples. These
167 D^0 's are required to be within the jet cone ($R=0.4$) and reconstructed D^0 $p_T > 1.5 \text{ GeV}/c$. Both
168 distributions of Figure 3 are normalized by the total counts of selected D^0 -jets. Meanwhile the D^0
169 tagged jet p_T is greater than $7 \text{ GeV}/c$. Reconstructed D^0 pseudorapidity has a strong dependence

170 on the reconstructed D^0 p_T . For high p_T D^0 s, the pseudorapidity resolution is better than 0.05 and
 171 the azimuthal angle resolution is better than 0.04.

172 6 Results of the D^0 -jet kinematics

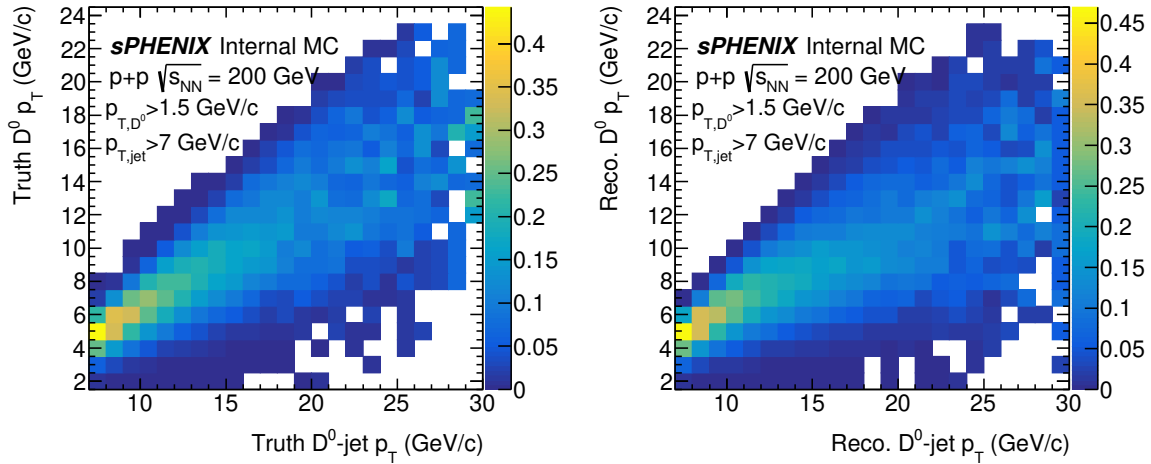


Figure 4: $p_{T,truth}$ of D^0 versus $p_{T,truth}$ of D^0 tagged jets (left). $p_{T,reco}$ of D^0 versus $p_{T,reco}$ of D^0 tagged jets (right). These distributions are obtained with the sPHENIX 12 GeV D^0 -jet simulation samples. Jets are reconstructed with the Anti- k_T algorithm and the cone radius is at 0.4. The selection cuts include D^0 $p_T > 1.5$ GeV/c and the D^0 tagged jet $p_T > 7$ GeV/c.

173 The kinematics of the D^0 tagged jet have been studied as well. Figure 4 shows the 2D correlation
 174 plot of D^0 p_T versus D^0 tagged jet p_T at the truth level (left) and the reconstruction level (right).
 175 These distributions use the sPHENIX 12 GeV D^0 -jet simulation samples. The distributions in
 176 Figure 4 are normalized per y axis slice. This normalization method aims to mitigate the p_T
 177 dependence biases. Similar correlation patterns have been observed in the D^0 p_T versus D^0 tagged
 178 jet p_T at the truth and reconstruction levels, which indicates that the D^0 jet tagging algorithm
 179 works as expected. Further detailed studies to understand the D^0 tagged jet jet energy scale (JES),
 180 jet energy resolution (JER), tagging efficiency and purity are underway. We plan to implement the
 181 same jet algorithm for Run2024 $p + p$ data and apply further optimizations in the data analysis.

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